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MP #3



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: José LIS and Philippe LEFEVRE

Group Art Unit: 1623

Serial No.: 10/091,917

Examiner:

Filed: March 6, 2002

For: **PROCESS FOR PREPARING A DIRECTLY COMPRESSIBLE BETA-CYCLODEXTRIN, AND DIRECTLY COMPRESSIBLE BETA-CYCLODEXTRIN THUS OBTAINED**

**COVER LETTER FOR DOCUMENTS IN RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION - FILING DATE GRANTED  
UNDER 37 C.F.R. 1.54(d)**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This is in response to the Notice to File Missing Parts of Application-Filing Date Granted (Notice), a copy of which is being returned with this Response, that is due by June 18, 2002.

In response to the Notice, enclosed herewith, duly executed by the applicant, is:

- i) a duly executed Declaration and Power of Attorney (Declaration)

document;

the Declaration which identifies the specification for the above-captioned application to which it is directed by the inventors' names, filing date, title, but not serial number, for filing in the above-captioned application, and wherein the Declaration further identifies the inventors by their residence and citizenship and is otherwise in compliance with 37 C.F.R. 1.63.

Please confirm the receipt of this Cover Letter and the Declaration being submitted herewith is in compliance with the requirements of 37 C.F.R. 1.51(a)(2), 153(d), and 1.63 for a Declaration.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 04-23-2002

Kerlyn J. Connel

If the Office has any questions, or wishes to discuss this matter, please call the attorney of record identified in this application as the one to whom all communications are to be directed, or the undersigned at the telephone number indicated below.

Respectfully submitted,  
José LIS and Philippe LEFEVRE

April 23, 2002  
Date

By: Michael O. Sturm  
Michael O. Sturm  
Reg. No. 26,078

HENDERSON & STURM LLP  
206 Sixth Avenue, Suite 1213  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,917	03/06/2002	Jose Lis	2-1032-191

00803  
HENDERSON & STURM LLP  
1213 MIDLAND BUILDING  
206 SIXTH AVENUE  
DES MOINES, IA 50309-4076

CONFIRMATION NO. 9194

## FORMALITIES LETTER



\*OC00000007896107\*

E Date Mailed: 04/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: José LIS and Philippe LEFEVRE

Group Art Unit: 1623

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Serial No.: 10/091,917

Examiner:

JUN 13 2002

Filed: March 6, 2002

TECH CENTER 1600/2900

For: **PROCESS FOR PREPARING A DIRECTLY COMPRESSIBLE BETA-CYCLODEXTRIN, AND DIRECTLY COMPRESSIBLE BETA-CYCLODEXTRIN THUS OBTAINED**

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the above-identified application, for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the following data is:

X omitted

X Applicant's address

in that the filing receipt should read as follows:

Please insert inventor Jose LIS' address as follows: --La Gorgue, France--.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 04-23-2002

*Michael O. Sturm*

Respectfully submitted,

José LIS and Philippe LEFEVRE

April 23, 2002  
Date

By:

Michael O. Sturm  
Michael O. Sturm  
Reg. No. 26,078

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JUN 13 2002

TECHNICAL CENTER FOR PATENT  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/091,917	03/06/2002	1623	870	2-1032-191		9	2

00803  
HENDERSON & STURM LLP  
1213 MIDLAND BUILDING  
206 SIXTH AVENUE  
DES MOINES, IA 50309-4076

CONFIRMATION NO. 9194

FILING RECEIPT



\*OC000000007896106\*

APR 22 2002

Date Mailed: 04/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jose Lis, Residence Not Provided  
Philippe Lefevre, Haverskerque, FRANCE;

La Dorgue, France

Domestic Priority data as claimed by applicant

## Foreign Applications

FRANCE FR 01 03156 03/08/2001

If Required, Foreign Filing License Granted 04/15/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Process for preparing a directly compressible beta-cyclodextrin, and directly compressible beta-cyclodextrin thus obtained

## Preliminary Class

536

**RECEIVED**

JUN 13 2002

TECH CENTER 1600/2900

**LICENSE FOR FOREIGN FILING UNDER**  
**Titl 35, Unit d States Code, Section 184**  
**Titl 37, Code of Federal Regulation , 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

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